

## Audits, Inspections, Reports, And Plans

Revision: C-72, September 10, 2020

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### 1.0 GENERAL

All TRICARE requirements regarding audits, inspections, reports, and plans shall apply to the TRICARE Overseas Program (TOP) unless specifically changed, waived, or superseded by the provisions of this section; the TRICARE Policy Manual (TPM), [Chapter 12](#); or the TRICARE contract for health care support services outside the 50 United States (U.S.) and the District of Columbia (hereinafter referred to as the "TOP contract"). See [Chapter 14](#) for additional instructions.

### 2.0 AUDITS AND INSPECTIONS

**2.1** The TRICARE Overseas Currency reports, and the claims supporting them, are subject to audit by Defense Health Agency (DHA) or other authorized Government auditors as part of any financial audit.

**2.2** The claims auditing software requirements outlined in the TRICARE Reimbursement Manual (TRM), [Chapter 1, Section 3](#) do not apply to TOP claims.

### 3.0 REPORTS AND PLANS

**3.1** All TOP reports and plans shall be submitted to DHA in accordance with [Chapter 14](#), unless a different method of submission is identified by the DD Forms 1423, Contract Data Requirement Lists (CDRLs), located in Section J of the applicable contract.

**3.2** Reports must be sortable by all fields and by TOP Region/TRICARE Area Office (TAO) unless a different format is specified by the Government.

**3.3** All reports and plans shall be titled as identified in DD Forms 1423, CDRLs, located in Section J of the TOP contract.

**3.4** All reports and plans shall contain the contact information for contractor personnel who were involved in preparation of the report/plan, and who can be contacted if questions arise.

**3.5** All reports and plans shall contain a legend for abbreviations or other terms used in the report.

**3.6** All TRICARE requirements regarding Health Insurance Portability and Accountability Act of 1996 (HIPAA) and privacy of Individually Identifiable Health Information (IIHI) shall apply to TOP reports and plans.

**TRICARE Operations Manual 6010.59-M, April 1, 2015**

Chapter 24, Section 15

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**3.7** Chapter 14, Section 2, paragraph 1.0 does not apply to the TOP contract.

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