CHAPTER 2 SECTION 10

PROVIDER RECORD DATA

DATA ELEMENT DEFINITION

ELEMENT NAME: AHA MULTI-	HOSPITAL SYSTEM (CODE			
RECORDS/LOCATOR NUMBERS					
RECORD NAME	LOCATOR#	OCCURRENCES	REQUIRED		
Provider	3-100	1	Yes ¹		
PRIMARY PICTURE (FORMAT)	Four (4) alphanumeric characters.				
DEFINITION	Code assigned by the American Hospital Association to identify multi-hospital systems.				
CODE/VALUE SPECIFICATIONS	Initially, an institutional provider list will be provided by TMA. Must be blanks if provider is not an institution.				
ALGORITHM	N/A				
Su	JBORDINATE AND/	OR GROUP ELEMENTS			
SUBORDINATE		GRO	OUP		
N/A	N/A				

¹ Not required if provider is not an institution or part of a multi-hospital system. Otherwise, required if available.

ELEMENT NAME: AMERICAN HOSPITAL ASSOCIATION ID NUMBER					
RECORDS/LOCATOR NUMBERS					
RECORD NAME LOCATOR# OCCURRENCES REQUIRED					
Provider	3-095 1 Yes ¹				
PRIMARY PICTURE (FORMAT) Nine (9) alphanumeric characters.					
DEFINITION	The identification number assigned to the institution by the American Hospital Association.				
CODE/VALUE SPECIFICATIONS	Initially, an institutional provider list will be provided by TMA. Must be blanks if provider is not an institution.				
ALGORITHM	I N/A				
Su	JBORDINATE AND/	OR GROUP ELEMENTS			
SUBORDINATE		GRO	OUP		
N/A	N/A N/A				
Notes And Special Instructions:					

¹ Required if available

ELEMENT NAME: AREA WAGE INDEX					
RECORDS/LOCATOR NUMBERS					
RECORD NAME LOCATOR# OCCURRENCES REQUIRED					
Provider	3-135 1 Yes ¹				
PRIMARY PICTURE (FORMAT)	Five (5) numeric digits, including four (4) decimal places.				
DEFINITION	Adjustment factored to the labor-related portion of the Adjusted Standardize Amount (ASA) to account for the differences in wages among geographic areas, based on the hospital's physical address, not billing address.				
CODE/VALUE SPECIFICATIONS	N/A				
ALGORITHM	N/A				
Su	JBORDINATE AND/	OR GROUP ELEMENTS			
SUBORDINATE		GR	OUP		
N/A	N/A				

¹ Zero fill for all non-institutional providers and all DRG-exempt institutional facilities not reimbursed using an Area Wage Index.

ELEMENT NAME: AREA WAGE	INDEX EFFECTIVE	DATE				
RECORDS/LOCATOR NUMBERS						
RECORD NAME	RECORD NAME LOCATOR# OCCURRENCES REQUIRED					
Provider	Provider 3-140 1 Yes ¹					
PRIMARY PICTURE (FORMAT)	PRIMARY PICTURE (FORMAT) Eight (8) numeric characters, YYYYMMDD.					
DEFINITION	DEFINITION Date the Area Wage Index or a change to the index became effective.					
CODE/VALUE SPECIFICATIONS	YYYY	4 digit calendar year				
	MM	2 digit calendar month	ı			
	DD	2 digit calendar day				
ALGORITHM	N/A					
Su	JBORDINATE AND	OR GROUP ELEMENTS				
SUBORDINATE		GR	OUP			
N/A	N/A N/A		/A			
Notes And Special Instructions: 1 Zero fill if not applicable						

LEMENT NAME: CONTRACTOR	NUMBER				
RECORDS/LOCATOR NUMBERS					
RECORD NAME	LOCATOR# OCCURRENCES REQUIRED				
Provider	3-020	1 Yes			
PRIMARY PICTURE (FORMAT)	Two (2) alphanumeric characters.				
DEFINITION		code for the contractor. U mitting Provider File Rec			
CODE/VALUE SPECIFICATIONS	TMA assigned	Contractor Number.			
	03	Managed Care Suppor	t - Region 3/4		
	06	Managed Care Suppor	t - Region 6		
	07	Managed Care Suppor (Region 7/8)	t - Central Region		
	11	Managed Care Suppor	t - Region 11		
	13	Unisys Health Information Management (Terminated 06/30/1999)			
	25	Managed Care Support - Region 2/5			
	26	Managed Care Support - Region 1			
	38	Blue Cross and Blue Sh Carolina (Terminated (
	45	Wisconsin Physicians S 10/01/2000 - Except for providers)			
	53	Foundation Health Cor 12/31/1994)	poration (Terminated		
	57	New Orleans Coordina (Terminated 05/29/19	0		
	59	Ætna Government Hea (Terminated 09/30/199			
	60	Managed Care Suppor	t - Region 9, 10, 12		
	72	Managed Care Suppor (Terminated 03/01/19)			

Notes And Special Instructions: N/A

	CONTRACTOR NUMBER (CONTINU	ED)	
SUBORDINATE AND/OR GROUP ELEMENTS			
	SUBORDINATE	GROUP	
_	N/A	N/A	

ELEMENT NAME: DRG EXEMPT/NON-EXEMPT INDICATOR					
RECORDS/LOCATOR NUMBERS					
RECORD NAME LOCATOR# OCCURRENCES REQUIRED					
Provider	3-145 1 Yes ¹				
PRIMARY PICTURE (FORMAT)	One (1) alphan	umeric character.			
DEFINITION Indicates whether the institutional provider is exempted from the TRICARE/CHAMPUS-DRG based payment system.					
CODE/VALUE SPECIFICATIONS	C DRG Non-exempt/Contracted Reimbursement Arrangement				
	Е	DRG Exempt			
	N	DRG Non-Exempt			
	Blank	Not applicable			
ALGORITHM	N/A				
St	JBORDINATE AND	OR GROUP ELEMENTS			
SUBORDINATE		Gi	ROUP		
N/A	N/A N/A				

NOTES AND SPECIAL INSTRUCTIONS:

1 Report blank for all non-institutional providers.

ELEMENT NAME: DRG EXEMPT/NON-EXEMPT INDICATOR EFFECTIVE DATE						
RECORDS/LOCATOR NUMBERS						
RECORD NAME	RECORD NAME LOCATOR# OCCURRENCES REQUIRED					
Provider	3-150	1	Yes ¹			
PRIMARY PICTURE (FORMAT) Eight (8) numeric characters, YYYYMMDD.						
DEFINITION	Date the exempt/non-exempt status of the institutional provider became effective or a status change became effective.					
CODE/VALUE SPECIFICATIONS	YYYY 4 digit calendar year					
	MM	2 digit calendar month				
	DD	2 digit calendar day				
ALGORITHM	N/A					
Su	JBORDINATE AND	OR GROUP ELEMENTS				
SUBORDINATE		GRO	DUP			
N/A N/A			A			

¹ Zero filled for all non-institutional providers.

ELEMENT NAME: IDME RATIO				
RECORDS/LOCATOR NUMBERS				
RECORD NAME	LOCATOR#	OCCURRENCES	REQUIRED	
Provider	3-125	1	Yes ¹	
PRIMARY PICTURE (FORMAT)	Five (5) numerio	digits, including four ((4) decimal places.	
DEFINITION	The ratio used on a hospital-specific basis to standardize the charges for the cost effects of Indirect Medical Education factors for teaching hospitals.			
CODE/VALUE SPECIFICATIONS	N/A			
ALGORITHM	N/A			
Su	JBORDINATE AND/C	OR GROUP ELEMENTS		
SUBORDINATE		GRO	OUP	
N/A	N/A			

Notes And Special Instructions:

1 Zero fill for all non-institutional providers and all DRG-exempt institutional facilities not reimbursed using an IDME Ratio.

ELEMENT NAME: IDME RATIO	EFFECTIVE DATE				
	RECORDS/LO	CATOR NUMBERS			
RECORD NAME	LOCATOR#	OCCURRENCES	REQUIRED		
Provider	3-130	1	Yes ¹		
PRIMARY PICTURE (FORMAT)	Eight (8) numeric characters, YYYYMMDD.				
DEFINITION	Date the IDME ratio or a change to the IDME ratio became effective.				
CODE/VALUE SPECIFICATIONS	YYYY	4 digit calendar year			
	MM	2 digit calendar month			
	DD	2 digit calendar day			
ALGORITHM	N/A				
Su	SUBORDINATE AND/OR GROUP ELEMENTS				
SUBORDINATE		GRO	UP		
N/A	N/A				

¹ Zero fill for all non-institutional providers and DRG-exempt institutional providers.

ELEMENT NAME: INSTITUTIONAL	OR NON-INSTITU	JTIONAL INDICATOR			
RECORDS/LOCATOR NUMBERS					
RECORD NAME	LOCATOR#	OCCURRENCES	REQUIRED		
Provider	3-030	1	Yes ¹		
PRIMARY PICTURE (FORMAT)	One (1) alphanumeric character.				
DEFINITION	Code used to identify a provider as an institution or non-institution.				
CODE/VALUE SPECIFICATIONS	Ι	Institution ¹			
	N	Non-Institution			
ALGORITHM	N/A				
Su	JBORDINATE AND	OR GROUP ELEMENTS			
SUBORDINATE		G	ROUP		
N/A	N/A		J/A		

An institution is any facility having the capability to retain a patient overnight, excluding Free Standing Birthing Centers and Free Standing Ambulatory Surgery Centers. In addition, if the institution provides professional services related to DRG claims and/or has clinics affiliated with it (using the same TIN), it must be reported as a 'Non-Institutional' provider, with indicator 'N'. Refer to the instructions under Provider Subidentifier (ELN 3-010) for reporting.

ELEMENT NAME: MEDICARE N	UMBER			
RECORDS/LOCATOR NUMBERS				
RECORD NAME	LOCATOR#	OCCURRENCES	REQUIRED	
Provider	3-105	1	Yes ¹	
PRIMARY PICTURE (FORMAT)	Eight (8) alphanumeric characters.			
DEFINITION	Number assigned to an institution by Medicare.			
CODE/VALUE SPECIFICATIONS	Initially, an institutional provider list will be provided by TMA.			
ALGORITHM	N/A			
SUBORDINATE AND/OR GROUP ELEMENTS				
SUBORDINATE		GRO	OUP	
N/A	N/A N/A			

¹ Report blank for non-institutional and institutional providers not Medicareapproved or in a foreign country.

ELEMENT NAME: PROVIDER AC	CCEPTANCE DATE			
RECORDS/LOCATOR NUMBERS				
RECORD NAME	LOCATOR#	OCCURRENCES	REQUIRED	
Provider	3-110	1	Yes	
PRIMARY PICTURE (FORMAT)	Eight (8) numeric digits.			
DEFINITION	Date a provider met criteria to provide services. If the provider was never qualified to provide services zero fill.			
CODE/VALUE SPECIFICATIONS	Must be valid date YYYYMMDD. Should be latest date of acceptance.			
ALGORITHM	N/A			
Su	JBORDINATE AND/	OR GROUP ELEMENTS		
SUBORDINATE		GRO	OUP	
N/A		N/	'A	

NOTES AND SPECIAL INSTRUCTIONS:

When submitting a provider record for a provider who has never met the criteria to provide services, the Provider Acceptance and Termination dates must be zero filled.

RECORDS/LOCA	ATOR NUMBERS	
LOCATOR#	OCCURRENCES	REQUIRED
3-040	1	Yes
Group		
Actual physical	location of the provide	r's place of business.
s N/A		
ı N/A		
SUBORDINATE AND/C	OR GROUP ELEMENTS	
	GR	OUP
	N, N,	/A /A /A /A
	LOCATOR# 3-040 Group Actual physical N/A N/A	3-040 1 Group A Actual physical location of the provide N/A N/A SUBORDINATE AND/OR GROUP ELEMENTS E GR ESS N/ UNTRY CODE N/

ELEMENT NAME: PROVIDER BIL	LING ADDRESS		
	RECORDS/LOCA	ATOR NUMBERS	
RECORD NAME	LOCATOR#	OCCURRENCES	REQUIRED
Provider	3-065	1	Yes ¹
PRIMARY PICTURE (FORMAT)	Group		
DEFINITION	Billing mailing address of the TRICARE Provider.		
CODE/VALUE SPECIFICATIONS	Left justified and blank filled. Blank fill if not required. 1		
ALGORITHM	N/A		
St	JBORDINATE AND/C	OR GROUP ELEMENTS	
SUBORDINATE		GR	OUP
PROVIDER BILLING STREET ADDRESS PROVIDER BILLING CITY PROVIDER BILLING STATE OR COUNTRY CODE		N	/A /A /A
PROVIDER BILLING ZIP CO	ODE	N	/A

NOTES AND SPECIAL INSTRUCTIONS:

1 Required only if different than Provider Address.

ELEMENT NAME: PROVIDER BIL	LING CITY		
	RECORDS/LOC	ATOR NUMBERS	
RECORD NAME	LOCATOR#	OCCURRENCES	REQUIRED
Provider	3-075	1	Yes ¹
PRIMARY PICTURE (FORMAT)	18 alphanumeri	c characters.	
DEFINITION	City name for mailing address of TRICARE provider.		
CODE/VALUE SPECIFICATIONS	Left justified and blank filled. Blank fill if not required. 1		
ALGORITHM	N/A		
Su	IBORDINATE AND/	OR GROUP ELEMENTS	
SUBORDINATE		GRO	DUP
N/A		PROVIDER BILL	LING ADDRESS

¹ Required only if different than Provider Address.

ELEMENT NAME: PROVIDER BIL	LING STATE OR CO	DUNTRY CODE	
	Records/Loc	ATOR NUMBERS	
RECORD NAME	LOCATOR#	OCCURRENCES	REQUIRED
Provider	3-080	1	Yes ¹
PRIMARY PICTURE (FORMAT)	Two (2) alphanu	ımeric characters.	
DEFINITION	State or country of provider's mailing address.		
CODE/VALUE SPECIFICATIONS	See Addendum A. Blank fill if not required. ¹		
ALGORITHM	N/A		
Su	IBORDINATE AND/	OR GROUP ELEMENTS	
SUBORDINATE		GRO	OUP
N/A		PROVIDER BILL	ING ADDRESS
MOTES AND SPECIAL INSTRUCTION	we.		

NOTES AND SPECIAL INSTRUCTIONS:

1 Required only when different than Provider Address.

ELEMENT NAME: PROVIDER BIL	LING STREET ADDRE	SS		
RECORDS/LOCATOR NUMBERS				
RECORD NAME	LOCATOR#	OCCURRENCES	REQUIRED	
Provider	3-070	1	Yes ¹	
PRIMARY PICTURE (FORMAT)	30 alphanumeri	c characters.		
DEFINITION	Billing mailing address of the provider. Can be street, P.O. Box or R. Route. Standard U.S. Postal Service abbreviations must be used.			
CODE/VALUE SPECIFICATIONS	Left justified and blank filled. Blank fill if not required. 1			
ALGORITHM	N/A			
St	JBORDINATE AND/	OR GROUP ELEMENTS		
Subordinate		GR	OUP	
N/A		PROVIDER BILI	LING ADDRESS	

¹ Required only if different than Provider Address.

ELEMENT NAME: PROVIDER BIL	LING ZIP CODE			
	RECORDS/LOC	CATOR NUMBERS		
RECORD NAME	LOCATOR#	OCCURRENCES	REQUIRED	
Provider	3-085	1	Yes ¹	
PRIMARY PICTURE (FORMAT)	Nine (9) alphar	numeric characters.		
DEFINITION	Zip code for pr	Zip code for provider mailing address.		
CODE/VALUE SPECIFICATIONS	Must be valid zip code or blank. Must be blanks if not required. ¹			
ALGORITHM	N/A			
Su	JBORDINATE AND/	OR GROUP ELEMENTS		
SUBORDINATE		GRO	DUP	
N/A		PROVIDER BILL	ING ADDRESS	

NOTES AND SPECIAL INSTRUCTIONS:

1 First five digits are required if different from Provider Address.

	RECORDS/LOCA	ATOR NUMBERS	
RECORD NAME	LOCATOR#	OCCURRENCES	REQUIRED
Provider	3-050	1	Yes
PRIMARY PICTURE (FORMAT)	18 alphanumeri	c characters.	
DEFINITION	City in which the provider of medical care is located.		
CODE/VALUE SPECIFICATIONS	Must be left justified and blank filled.		
ALGORITHM	N/A		
Su	IBORDINATE AND/C	OR GROUP ELEMENTS	
SUBORDINATE		GROUP	
N/A		PROVIDER ADDRESS	

ELEMENT NAME: PROVIDER CO	ONTRACT AFFILIATION	ON CODE	
	Records/Loc	ATOR NUMBERS	
RECORD NAME	LOCATOR#	OCCURRENCES	REQUIRED
Provider	3-025	1	Yes
PRIMARY PICTURE (FORMAT)	One (1) alphanı	ımeric.	
DEFINITION	Code indicates the contractor.	whether the provider i	s under contract with
CODE/VALUE SPECIFICATIONS	0	Not applicable	
	1	Contracted ¹	
	2	Not contracted ¹	
	3	Contracted/Not cont	racted ¹
	4	Active Duty - TPR	
	5	Non-Certified Provid sanctioned/suspende (Effective 08/01/2003	ed providers)
ALGORITHM	N/A		
Su	JBORDINATE AND/	OR GROUP ELEMENTS	
SUBORDINATE		Gı	ROUP
N/A		N	I/A

¹ Codes '1', '2' and '3' apply only to at-risk contractors and subcontractors. Report '0' if not an at-risk contractor. All codes are irrespective of any Partnership agreements.

ELEMENT NAME: PROVIDER MA	AJOR SPECIALTY/TY	PE OF INSTITUTION				
	Records/Loc	ATOR NUMBERS				
RECORD NAME	LOCATOR#	OCCURRENCES	REQUIRED			
Provider	3-090	3-090 1 Yes				
PRIMARY PICTURE (FORMAT)	Two (2) alphanumeric characters.					
DEFINITION	Code describing a provider's major specialty for non- institutional HCSRs or a code describing the type of institution for institutional HCSRs.					
CODE/VALUE SPECIFICATIONS	Refer to Addendum C for non-institutional provider specialty codes. Refer to Addendum D for type of institution codes for Institutional HCSRs.					
ALGORITHM	N/A					
Su	BORDINATE AND	OR GROUP ELEMENTS				
SUBORDINATE	GROUP					
N/A		N	/A			
NOTES AND SPECIAL INSTRUCTION N/A	DNS:					

ELEMENT NAME: PROVIDER NA	ME		
	RECORDS/LOC	ATOR NUMBERS	
RECORD NAME	LOCATOR#	OCCURRENCES	REQUIRED
Provider	3-035	1	Yes
PRIMARY PICTURE (FORMAT)	40 alphanumeri	c characters.	
DEFINITION	Name of provid	ler.	
CODE/VALUE SPECIFICATIONS	'&',',', '-',' $ boldsymbol{b}$ ' . the form of last should be separ name). Do not ustandard abbrev	tified and blank filled. M If this field is a person's name, first name, midd rated by a comma with r use articles such as 'the', viations such as 'St.' for osp' for hospital, etc.	name, it should be in le initial (each name no space between the 'A', 'An', etc. Use
ALGORITHM	N/A		
Su	JBORDINATE AND/	OR GROUP ELEMENTS	
SUBORDINATE		GRO	DUP
N/A		N/	'A
NOTES AND SPECIAL INSTRUCTION	ONS:		

	RECORDS/LOCA	ATOR NUMBERS	
RECORD NAME	LOCATOR#	OCCURRENCES	REQUIRED
Provider	3-055	1	Yes
PRIMARY PICTURE (FORMAT)	Two (2) alphanu	meric characters.	
DEFINITION	Code assigned to identify the state or foreign country in which the provider is physically located.		
CODE/VALUE SPECIFICATIONS	Reference Addendum A and Addendum B.		
ALGORITHM	N/A		
Su	JBORDINATE AND/C	OR GROUP ELEMENTS	
SUBORDINATE	GROUP		DUP
N/A	N/A		A

ELEMENT NAME: PROVIDER STREET ADDRESS							
RECORDS/LOCATOR NUMBERS							
RECORD NAME	RECORD NAME LOCATOR# OCCURRENCES REQUIRED						
Provider	3-045 1 Yes						
PRIMARY PICTURE (FORMAT)	30 alphanumeri	c characters.					
DEFINITION	Street address of an TMA provider's location. Standard U.S. Postal Service abbreviations must be used. P. O. Box may be used only for providers whose major specialty code '05' (anesthesiology) or '30' (radiology) or '22' (Pathologist).						
CODE/VALUE SPECIFICATIONS	Must be left justified and blank filled.						
ALGORITHM	N/A						
Su	JBORDINATE AND/	OR GROUP ELEMENTS					
SUBORDINATE	TE GROUP						
N/A	N/A PROVIDER ADDRESS						
NOTES AND SPECIAL INSTRUCTION N/A	DNS:						

ELEMENT NAME: PROVIDER SUBIDENTIFIER					
RECORDS/LOCATOR NUMBERS					
RECORD NAME	LOCATOR# OCCURRENCES REQUIRED				
Provider	3-010 1 Yes				
PRIMARY PICTURE (FORMAT)	Four (4) alphan	umeric characters.			
DEFINITION	Identification number that uniquely identifies multiple providers using the same Taxpayer Identification Number (TIN).				
CODE/VALUE SPECIFICATIONS	Must be zero-filled if there are no multiple providers within TIN and zip code. For non-institutional providers including institutions that render non-institutional care (e.g., outpatient), no two Provider Subidentifiers may be the same within the same TIN and zip code. For clinics, subidentifier is assigned with an alpha character in first position followed by three numeric, sequentially assigned numbers. When the clinic itself is submitted (specialty code 70), the sequential number must always be 001. Individual providers within would then begin with 002 and so on, all having the same alpha character in the first position as on the clinic record. All other non-institutional providers must use numerics in all four characters of the subidentifier. See example below.				
	Institutional provider subidentifiers are to be numeric and sequentially assigned within TIN. However, follow the requirements as shown in example below for reporting institutional providers as non-institutional for HCSRs.				
ALGORITHM	N/A				
Su	JBORDINATE AND/	OR GROUP ELEMENTS			
SUBORDINATE		GRO	DUP		
N/A		N/	'A		

NOTES AND SPECIAL INSTRUCTIONS:

For electronic media claims (EMC) that are submitted with a Medicare Unique Physician Identification Number with PIN or other alternative provider source identifier, the requirement to assign multiple provider subidentifiers for providers with more than one location within the same TIN and zip code is not required. However, the requirement to identify the rendering provider by use of provider subidentifier has not changed. See OPM, Chapter 8, Section 7.

PROVIDER SUBIDENTIFIER EXAMPLE 1

EXAMPLE: City Wide Clinic with a TIN of 123456789 has three locations in an area. They would be submitted to TMA in the following format

TIN	ZIP CODE	SUB ID	NAME	SPEC
123456789	12345	A001	City Wide Clinic 1	70
123456789	12345	A002	Doctor Jones	04
123456789	12345	A003	Doctor Smith	20
123456789	12345	A004	Doctor Brown	28
123456789	12345	A005	Doctor Doe	34
123456789	12345	B001	City Wide Clinic 2	70
123456789	12345	B002	Doctor Watson	01
123456789	12345	B003	Doctor Allen	28
123456789	54321	A001	City Wide Clinic 3	70
123456789	54321	A002	Dr. Peterson	02
123456789	54321	A003	Dr. Adams	05

When an institution provides outpatient care and/or for DRG-related professional services, additional provider records must be reported to TMA. For these services (e.g., ambulatory surgery in hospital, emergency room, hospital services) submit a provider record with PROVIDER MAJOR SPECIALTY/TYPE OF INSTITUTION '99' and INSTITUTIONAL OR NON-INSTITUTIONAL INDICATOR 'N'. If the institution has a clinic associated with it, additional non-institutional provider records must be reported to TMA using the PROVIDER SUBIDENTIFIER in the same manner as a stand alone clinic. Refer to the following example.

PROVIDER SUBIDENTIFIER EXAMPLE 2

EXAMPLE: Township Hospital with a Taxpayer Identification Number (TIN) of 987654321 has two affiliated clinics in its area. In addition Township Hospital provides outpatient services (e.g., emergency room, etc.). These provider records should be reported to TMA in the following manner.

TIN	ZIP CODE	I/N-I IND	SID	NAME	SPEC
987654321	67890	N	0000	Township Hospital	99
987654321	67890	N	A001	Township Ear Nose & Throat Clinic	70
987654321	67890	N	A002	Dr. Jones	01
987654321	67890	N	A003	Dr. Smith	20
987654321	69116	N	A001	Township Surgeons Group	70
987654321	69116	N	A002	Dr. Cutter	02
987654321	69116	N	A003	Dr. Suture	33

ELEMENT NAME: PROVIDER TAXPAYER NUMBER							
RECORDS/LOCATOR NUMBERS							
RECORD NAME	RECORD NAME LOCATOR# OCCURRENCES REQUIRED						
Provider	3-005	3-005 1 Yes					
PRIMARY PICTURE (FORMAT)	Nine (9) alphanumeric characters.						
DEFINITION	The IRS Taxpayer Identification Number assigned to the provider supplying the care.						
CODE/VALUE SPECIFICATIONS	For institutions must be 9-digit Employer Identification Number (EIN). For individual providers must be 9-digit EIN or SSN if EIN is not applicable. If not available, follow reporting requirements listed on next page.						
ALGORITHM	N/A						
Su	JBORDINATE AND/	OR GROUP ELEMENTS					
SUBORDINATE	SUBORDINATE GROUP						
N/A	N/A N/A						
NOTES AND SPECIAL INSTRUCTIONS: N/A							

PROVIDER TAXPAYER NUMBER REPORTING REQUIREMENTS

- 1. The contractor who is responsible for certifying the provider shall assign an Assigned Provider Number (APN) as outlined below when the actual Taxpayer Identification Number (TIN) of a provider is not available. (See the OPM, Chapter 8, Section 3, paragraph 5.1. for instructions on contractor coordination for out-of-jurisdiction provider information.) The use of an contractor-assigned APN is restricted to the following situations:
 - a. The provider is located in a foreign country and does not have a TIN. If a foreign provider has a TIN, it is to be used. Otherwise, an APN is used regardless of whether the claim is to be paid or denied.
 - b. The provider does not meet TRICARE certification requirements or the contractor does not have substantial evidence that the provider meets the TRICARE certification requirements. Regardless of the provider number used for denying the claim, if the Denial Reason Code 'M' (Provider is not TRICARE certified) is used, there will be no provider number validity editing performed.
 - C. The contractor has substantial evidence that the provider meets the TRICARE certification requirements. In this case, the payment must be made to the beneficiary.
- 2. When neither the EIN nor the SSN is available for the provider and the provider is located in your contract area.

NOTE: Claims for care rendered by an institutional provider located in the United States must be processed with a valid EIN. Contractor-assigned provider numbers will not be allowed.

a. If the provider is located in a foreign country, the field is coded in the following manner.

Position 1 and 2 - The two character alpha abbreviation of the country in which the provider or institution is located (Addendum A).

Position 3 thru 9 - A seven-digit sequential contractor assigned number. These numbers are to be permanently assigned to the provider.

EXAMPLE: The first provider from Mexico will be coded MX0000001.

b. If the provider is not an institutional provider and is located in the United States, the field is coded in the following manner.

Position 1 and 2 - The two character numeric abbreviation of the state in which the provider or facility is located (Addendum B).

Position 3 thru 9 - A seven-digit sequential contractor assigned number.

EXAMPLE: The first provider from Maryland would be coded 240000001. See instruction below, for exception.

C. For Program for Persons with Disabilities, if the HCSR is for transportation via a privately owned vehicle (POV), do not assign an APN or submit a provider record.

- d. For the Drug Program when the services are from a non-participating pharmacy, do not assign an APN or submit a provider record.
- 3. If it is necessary to assign a number for a provider that is outside of your contract area, the number is assigned following all the above rules except the third high order digit must be an 'A'.

EXAMPLE: If a beneficiary, whose care when traveling outside of your area is your responsibility, received care in Mexico, it will be coded MXA000001.

NOTE: These numbers, once assigned, will not be reassigned to another provider. Upon receipt of a valid EIN or SSN, inactivate the APN provider record and submit an'ADD' transaction for the actual TIN. After the TIN record is added, subsequent adjustments to the HCSRs previously reported using an APN shall be reported with the current TIN and provider information.

ELEMENT NAME: PROVIDER TAX	KPAYER N UMBER I	DENTIFIER				
RECORDS/LOCATOR NUMBERS						
RECORD NAME	LOCATOR#	OCCURRENCES	REQUIRED			
Provider	3-015	1 Yes				
PRIMARY PICTURE (FORMAT)	One (1) alphan	umeric digits.				
DEFINITION Code to identify the Provider Taxpayer Identification Number as being an EIN or SSN or contractor assigned.						
CODE/VALUE SPECIFICATIONS	Е	Indicates "EIN"				
	S	Indicates "SSN" (valid for non-institutional only)				
	A	Assigned by contractor (valid only for non- institutional providers when no payment is made to the provider, and providers from foreign countries without a TIN, or CRI traveling beneficiaries)				
ALGORITHM	N/A					
Su	JBORDINATE AND,	OR GROUP ELEMENTS				
SUBORDINATE		GR	OUP			
N/A	N/A					
Notes And Special Instructions: N/A						

ELEMENT NAME: PROVIDER TERMINATION DATE							
RECORDS/LOCATOR NUMBERS							
RECORD NAME LOCATOR# OCCURRENCES REQUIRED							
Provider	3-115 1 Yes ¹						
PRIMARY PICTURE (FORMAT)	Eight (8) numer	ic digits.					
DEFINITION	DEFINITION Date a provider is either suspended or terminated as a valid TRICARE provider (not to be used as the date a change was made to the file). If the provider was never qualified to provide services zero fill.						
CODE/VALUE SPECIFICATIONS	Must be valid date, YYYYMMDD. Zero fill if not applicable, or if provider acceptance date (3-110) is zero filled.						
ALGORITHM	N/A						
SUBORDINATE AND/OR GROUP ELEMENTS							
SUBORDINATE	GROUP						
N/A	N/A						
Notes And Special Instructions:							

IOTES AND SPECIAL INSTRUCTIONS:

1 Required if update is to suspend or terminate a provider.

ELEMENT NAME: PROVIDER ZIP	CODE					
RECORDS/LOCATOR NUMBERS						
RECORD NAME LOCATOR# OCCURRENCES REQUIRED						
Provider	3-060 1 Yes ¹					
PRIMARY PICTURE (FORMAT)	Y PICTURE (FORMAT) Nine (9) alphanumeric characters.					
	Zip code for provider's health care business location where the care was provided. The first five digits are used along with other 'key' elements to uniquely identify multiple providers using the same Provider Taxpayer Number.					
CODE/VALUE SPECIFICATIONS	Must be valid zip code or, if a foreign country, must be blank. If all nine digits are not available, left justify and space fill.					
ALGORITHM	N/A					
Su	JBORDINATE AND/	OR GROUP ELEMENTS				
SUBORDINATE		GRO	OUP			
N/A	N/A					
ERROR MESSAGES	3-060-01R, 3-060-02R, 3-060-03R					

Notes And Special Instructions:

1 First 5 digits are required.

ELEMENT NAME: RECORD EFFECTIVE DATE							
RECORDS/LOCATOR NUMBERS							
RECORD NAME LOCATOR# OCCURRENCES REQUIRED							
Provider	Provider 3-160 1 Yes						
PRIMARY PICTURE (FORMAT)	Eight (8) numer	ic characters YYYYMMI	DD.				
DEFINITION	Date to indicate the effective date of the data on this record.						
CODE/VALUE SPECIFICATIONS	N/A						
ALGORITHM	N/A						
Su	JBORDINATE AND/	OR GROUP ELEMENTS					
SUBORDINATE GROUP							
N/A N/A							
Notes And Special Instructions: N/A							

	RECORDS/LO	RECORDS/LOCATOR NUMBERS						
RECORD NAME	LOCATOR#	OCCURRENCES	REQUIRED					
Provider	3-001	1	Yes					
PRIMARY PICTURE (FORMAT)	One (1) alphar	numeric character.						
DEFINITION	Code to indicate the type of record.							
CODE/VALUE SPECIFICATIONS	3	Provider						
ALGORITHM	N/A							
Su	IBORDINATE AND	OR GROUP ELEMENTS						
SUBORDINATE			GROUP					
N/A			N/A					

ELEMENT NAME: RURAL/URBAN INDICATOR							
RECORDS/LOCATOR NUMBERS							
RECORD NAME LOCATOR# OCCURRENCES REQUIRED							
Provider	3-120 1 Yes ¹						
PRIMARY PICTURE (FORMAT)	PRIMARY PICTURE (FORMAT) One (1) alphanumeric character.						
DEFINITION	IION Indicates for DRG amount calculation whether the institution is located in a rural or urban area.						
CODE/VALUE SPECIFICATIONS	L	Large Urban					
	R	Rural					
	U	Urban					
	Þ	Not applicable					
ALGORITHM	N/A						
SUBORDINATE AND/OR GROUP ELEMENTS							
SUBORDINATE			ROUP				
N/A N/A			N/A				

¹ Report blank for all non-institutional providers and all DRG-exempt institutional facilities not reimbursed using a Rural/Urban Indicator.

ELEMENT NAME: TRANSACTION	CODE						
RECORDS/LOCATOR NUMBERS							
RECORD NAME LOCATOR# OCCURRENCES REQUIRE							
Provider	3-155	3-155 1					
PRIMARY PICTURE (FORMAT)	One (1) alphar	numeric character.					
DEFINITION	Code used to identify type of processing to be done on the record.						
CODE/VALUE SPECIFICATIONS	A	Add a record					
	M	Modify a record					
	I	Inactivate a record					
ALGORITHM	N/A						
SUBORDINATE AND/OR GROUP ELEMENTS							
SUBORDINATE		GRO	DUP				
N/A N/A							

¹ A record must be on file to Modify or Inactivate. A record cannot be on file to do an Add.

ELEMENT NAME: TYPE OF INSTITUTION CODE						
RECORDS/LOCATOR NUMBERS						
RECORD NAME	LOCATOR#	OCCURRENCES	REQUIRED			
Provider	3-092	1	Yes ¹			
PRIMARY PICTURE (FORMAT)	One (1) alphanumeric character.					
DEFINITION	Code used to identify type of institution as short or long term.					
CODE/VALUE SPECIFICATIONS	S	Short term				
	L	Long term				
ALGORITHM	N/A					
SUBORDINATE AND/OR GROUP ELEMENTS						
SUBORDINATE		GROUP				
N/A		N/A				

¹ Use standard American Hospital Association (AHA) classification.