

PRICING RECORD DATA

DATA ELEMENT DEFINITION

| ELEMENT NAME: CATEGORY OF CARE FOR CONVERSION FACTOR                    |          |  |                  |
|---|----------|--|------------------|
| RECORDS/LOCATOR NUMBERS   |          |  |                  |
| RECORD NAME   | LOCATOR# | OCCURRENCES  | REQUIRED         |
| Pricing   | 4-050    | 1  | Yes <sup>1</sup> |
| PRIMARY PICTURE (FORMAT) One (1) alphanumeric character.                |          |  |                  |
| DEFINITION Code identifying the type of conversion factor by care type. |          |  |                  |
| CODE/VALUE SPECIFICATIONS   | M        | Medical Conversion Factor                            |                  |
|   | A        | Anesthesia Conversion Factor                         |                  |
|   | R        | Radiology Conversion Factor                          |                  |
|   | P        | Pathology Conversion Factor                          |                  |
|   | S        | Surgical Conversion Factor                           |                  |
|   | B        | By report (no conversion factor, no area prevailing) |                  |
| ALGORITHM N/A   |          |  |                  |
| SUBORDINATE AND/OR GROUP ELEMENTS                                       |          |  |                  |
| SUBORDINATE   |          | GROUP  |                  |
| N/A   |          | N/A  |                  |
| NOTES AND SPECIAL INSTRUCTIONS:   |          |  |                  |
| <sup>1</sup> Must be blank on prevailing records.                       |          |  |                  |

DATA ELEMENT DEFINITION

ELEMENT NAME: CLASS OF PROVIDER

| RECORDS/LOCATOR NUMBERS           |   |   |                  |
|-----------------------------------|---|---|------------------|
| RECORD NAME                       | LOCATOR#  | OCCURRENCES   | REQUIRED         |
| Pricing                           | 4-020   | 1   | Yes <sup>1</sup> |
| PRIMARY PICTURE (FORMAT)          | Two (2) alphanumeric digits.  |   |                  |
| DEFINITION                        | Code to identify those exceptions to requirements governing development of profiles on a non-specialty basis. |   |                  |
| CODE/VALUE SPECIFICATIONS         | 01  | Medical, MD, DOs  |                  |
|                                   | 02  | PHDs, Psychologists   |                  |
|                                   | 03  | Social workers, pastoral counselors, marriage and family counselors, mental health counselors and psychiatric nurse practitioners |                  |
|                                   | 04  | Others not included in '01', '02', '03' or '05'   |                  |
|                                   | 05  | Chiropractor  |                  |
| ALGORITHM                         | N/A   |   |                  |
| SUBORDINATE AND/OR GROUP ELEMENTS |   |   |                  |
| SUBORDINATE                       |   |   | GROUP            |
| N/A                               |   |   | N/A              |

NOTES AND SPECIAL INSTRUCTIONS:

<sup>1</sup> Must be used when different prevailing fees or conversion amounts are developed for different classes of providers. If developed for only one class of provider, report only in appropriate class.

## DATA ELEMENT DEFINITION

| ELEMENT NAME: CONVERSION AMOUNT  |  |             |                  |
|--|--|-------------|------------------|
| RECORDS/LOCATOR NUMBERS  |  |             |                  |
| RECORD NAME  | LOCATOR#   | OCCURRENCES | REQUIRED         |
| Pricing  | 4-035  | 1           | Yes <sup>1</sup> |
| PRIMARY PICTURE (FORMAT)   | Seven (7) signed numeric digits including 2 decimal places.  |             |                  |
| DEFINITION   | Monetary amount derived from Relative Value Unit and Conversion Factor to be used when a prevailing fee has not been established.  |             |                  |
| CODE/VALUE SPECIFICATIONS  | N/A  |             |                  |
| ALGORITHM  | Medical Relative Value Unit (RVU) times appropriate conversion factor for the procedure code. Appropriate conversion factor is based on whether the procedure code is a Medical, Radiology, Surgical or Laboratory procedure code. |             |                  |
| SUBORDINATE AND/OR GROUP ELEMENTS  |  |             |                  |
| SUBORDINATE  |  |             | GROUP            |
| N/A  |  |             | N/A              |
| NOTES AND SPECIAL INSTRUCTIONS:  |  |             |                  |
| <sup>1</sup> This field is only required when pricing is based on the conversion factor methodology, but is not applicable for anesthesia services. Code zeros for anesthesia conversion records, all area prevailing and by report records. |  |             |                  |

DATA ELEMENT DEFINITION

ELEMENT NAME: CONVERSION FACTOR

RECORDS/LOCATOR NUMBERS

| RECORD NAME | LOCATOR# | OCCURRENCES | REQUIRED         |
|-------------|----------|-------------|------------------|
| Pricing     | 4-045    | 1           | Yes <sup>1</sup> |

PRIMARY PICTURE (FORMAT) Five (5) signed numeric digits including 2 decimal places.

DEFINITION Conversion Factor used by the contractor when no prevailing fee is available and allowable amount is based on the state and Category of Care.

CODE/VALUE SPECIFICATIONS Must be valid dollar amount calculated by contractor.

ALGORITHM Refer to **TRICARE Reimbursement Manual, Chapter 3, Section 1** (for contractors) for instructions on the use of conversion factors. All conversion factors must be the same for the same category of care and state.

SUBORDINATE AND/OR GROUP ELEMENTS

| SUBORDINATE | GROUP |
|-------------|-------|
| N/A         | N/A   |

NOTES AND SPECIAL INSTRUCTIONS:

<sup>1</sup> This field is required when the pricing is based on conversion factor methodology. Code zeros on area prevailing and by report pricing records.

## DATA ELEMENT DEFINITION

| ELEMENT NAME: MEDICARE ECONOMIC INDEX PRICE  |   |             |                  |
|--|---|-------------|------------------|
| RECORDS/LOCATOR NUMBERS  |   |             |                  |
| RECORD NAME  | LOCATOR#  | OCCURRENCES | REQUIRED         |
| Pricing  | 4-051   | 1           | Yes <sup>1</sup> |
| PRIMARY PICTURE (FORMAT)   | Seven (7) signed numeric digits including 2 decimal places.   |             |                  |
| DEFINITION   | Monetary amount derived from application of the Medicare Economic Index (MEI) to prevailing fee or conversion amount. |             |                  |
| CODE/VALUE SPECIFICATIONS  | N/A   |             |                  |
| ALGORITHM  | N/A   |             |                  |
| SUBORDINATE AND/OR GROUP ELEMENTS  |   |             |                  |
| SUBORDINATE  |   | GROUP       |                  |
| N/A  |   | N/A         |                  |
| NOTES AND SPECIAL INSTRUCTIONS:  |   |             |                  |
| This field is required for all procedures subject to MEI requirements. Code zeros if not applicable. |   |             |                  |

DATA ELEMENT DEFINITION

ELEMENT NAME: PREVAILING FEE

RECORDS/LOCATOR NUMBERS

| RECORD NAME | LOCATOR# | OCCURRENCES | REQUIRED         |
|-------------|----------|-------------|------------------|
| Pricing     | 4-030    | 1           | Yes <sup>1</sup> |

PRIMARY PICTURE (FORMAT) Seven (7) signed numeric digits including 2 decimal places.

DEFINITION Prevailing fee for the procedure code.

CODE/VALUE SPECIFICATIONS Must be numeric.

ALGORITHM For instructions on prevailing charges, refer to **TRICARE Reimbursement Manual, Chapter 3, Section 1** (for contractors).

SUBORDINATE AND/OR GROUP ELEMENTS

| SUBORDINATE | GROUP |
|-------------|-------|
| N/A         | N/A   |

NOTES AND SPECIAL INSTRUCTIONS:

<sup>1</sup> Code zeros on conversion and by report pricing records.

## DATA ELEMENT DEFINITION

ELEMENT NAME: PRICING EFFECTIVE DATE

| RECORDS/LOCATOR NUMBERS           |   |                        |          |
|-----------------------------------|---|------------------------|----------|
| RECORD NAME                       | LOCATOR#  | OCCURRENCES            | REQUIRED |
| Pricing                           | 4-055   | 1                      | Yes      |
| <b>PRIMARY PICTURE (FORMAT)</b>   | Eight (8) numeric digits, YYYYMMDD.               |                        |          |
| <b>DEFINITION</b>                 | Effective date of the data on the Pricing record. |                        |          |
| <b>CODE/VALUE SPECIFICATIONS</b>  | YYYY  | 4 digit calendar year  |          |
|                                   | MM  | 2 digit calendar month |          |
|                                   | DD  | 2 digit calendar day   |          |
| <b>ALGORITHM</b>                  | N/A   |                        |          |
| SUBORDINATE AND/OR GROUP ELEMENTS |   |                        |          |
| SUBORDINATE                       |   | GROUP                  |          |
| N/A                               |   | N/A                    |          |

**NOTES AND SPECIAL INSTRUCTIONS:**  
N/A

DATA ELEMENT DEFINITION

ELEMENT NAME: PRICING PROFILE

| RECORDS/LOCATOR NUMBERS   |  |                         |                  |
|---------------------------|--|-------------------------|------------------|
| RECORD NAME               | LOCATOR#   | OCCURRENCES             | REQUIRED         |
| Pricing                   | 4-052  | 1                       | Yes <sup>1</sup> |
| PRIMARY PICTURE (FORMAT)  | Two (2) alphanumeric digits.   |                         |                  |
| DEFINITION                | Number identifying the pricing period used to determine the allowable charge. Refer to <b>the TRICARE Reimbursement Manual</b> . |                         |                  |
| CODE/VALUE SPECIFICATIONS | 88 = 88 Profile  | 10/01/1987 - 01/31/1989 |                  |
|                           | 89 = 89 Profile  | 02/01/1989 - 12/31/1989 |                  |
|                           | 11 = 11 Profile  | 01/01/1990 - 03/31/1990 |                  |
|                           | 90 = 90 Profile  | 04/01/1990 - 10/06/1991 |                  |
|                           | 91 = 91 Profile  | 10/07/1991 - 04/30/1992 |                  |
|                           | 92 = 92 Profile  | 05/01/1992 - 02/28/1993 |                  |
|                           | 93 = 93 Profile  | 03/01/1993 - 10/31/1993 |                  |
|                           | 14 = 14 Profile  | 11/01/1993 - 03/31/1994 |                  |
|                           | 94 = 94 Profile  | 04/01/1994 - 12/31/1994 |                  |
|                           | 15 = 15 Profile  | 01/01/1995 - 02/28/1995 |                  |
|                           | 95 = 95 Profile  | 02/01/1995 - 01/31/1996 |                  |
|                           | 16 = 16 Profile  | 01/01/1996 - 01/31/1996 |                  |
|                           | 96 = 96 Profile  | 02/01/1996 - 12/31/1996 |                  |
|                           | 17 = 17 Profile  | 01/01/1997 - 02/28/1997 |                  |
|                           | 97 = 97 Profile  | 03/01/1997 - 12/31/1997 |                  |
|                           | 18 = 98 Profile  | 01/01/1998 - 01/31/1998 |                  |
|                           | 98 = 98 Profile  | 02/01/1998 - 07/31/1998 |                  |
|                           | 28 = 98 Profile  | 08/01/1998 - 12/31/1998 |                  |
|                           | 19 = 99 Profile  | 01/01/1999 - 01/31/1999 |                  |
|                           | 99 = 99 Profile  | 02/01/1999 - 01/31/2000 |                  |
|                           | 00 = 00 Profile  | 02/01/2000 - 01/31/2001 |                  |
|                           | 01 = 01 Profile  | 02/01/2001 - 01/31/2002 |                  |
|                           | 02 = 02 Profile  | 02/01/2002 - 99/99/9999 |                  |

NOTES AND SPECIAL INSTRUCTIONS:

<sup>1</sup> Required on all pricing records, including conversion.



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**DATA ELEMENT DEFINITION**
**ELEMENT NAME: PRICING PROFILE (CONTINUED)**
**ALGORITHM N/A**


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**SUBORDINATE AND/OR GROUP ELEMENTS**
**SUBORDINATE**
**GROUP**
**N/A**
**N/A**


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**NOTES AND SPECIAL INSTRUCTIONS:**
<sup>1</sup> **Required on all pricing records, including conversion.**

DATA ELEMENT DEFINITION

| ELEMENT NAME: PRICING STATE OR COUNTRY CODE |  |             |          |
|---|--|-------------|----------|
| RECORDS/LOCATOR NUMBERS                     |  |             |          |
| RECORD NAME                                 | LOCATOR#   | OCCURRENCES | REQUIRED |
| Pricing                                     | 4-005  | 1           | Yes      |
| PRIMARY PICTURE (FORMAT)                    | Two (2) alphanumeric characters.   |             |          |
| DEFINITION                                  | Code assigned to identify the state or foreign country for which the pricing data was established.         |             |          |
| CODE/VALUE SPECIFICATIONS                   | Reference <a href="#">Addendum A</a> and <a href="#">Addendum B</a> . Note exception below for California. |             |          |
| ALGORITHM                                   | N/A  |             |          |
| SUBORDINATE AND/OR GROUP ELEMENTS           |  |             |          |
| SUBORDINATE                                 |  | GROUP       |          |
| N/A   |  | N/A         |          |
| NOTES AND SPECIAL INSTRUCTIONS:<br>N/A      |  |             |          |

## DATA ELEMENT DEFINITION

| ELEMENT NAME: PROCEDURE CODE   |  |             |          |
|--|--|-------------|----------|
| RECORDS/LOCATOR NUMBERS  |  |             |          |
| RECORD NAME  | LOCATOR#   | OCCURRENCES | REQUIRED |
| Pricing  | 4-015  | 1           | Yes      |
| PRIMARY PICTURE (FORMAT)   | Five (5) alphanumeric digits.  |             |          |
| DEFINITION   | Code indicating the procedure to which the pricing data applies.                                     |             |          |
| CODE/VALUE SPECIFICATIONS  | Physician's Current Procedure Terminology <sup>1</sup> (CPT-4) or TMA approved codes (Figure 2-E-1). |             |          |
| ALGORITHM  | N/A  |             |          |
| SUBORDINATE AND/OR GROUP ELEMENTS  |  |             |          |
| SUBORDINATE  |  | GROUP       |          |
| N/A  |  | N/A         |          |
| NOTES AND SPECIAL INSTRUCTIONS:  |  |             |          |
| <sup>1</sup> CPT codes, descriptions and other data only are copyright 2001 American Medical Association. All rights reserved. Applicable FARS/DFARS Restrictions Apply to Government use. |  |             |          |

**DATA ELEMENT DEFINITION**

| <b>ELEMENT NAME: PROCEDURE TEXT IDENTIFIER</b> |   |                            |                 |
|--|---|----------------------------|-----------------|
| <b>RECORDS/LOCATOR NUMBERS</b>                 |   |                            |                 |
| <b>RECORD NAME</b>                             | <b>LOCATOR#</b>   | <b>OCCURRENCES</b>         | <b>REQUIRED</b> |
| Pricing  | 4-010   | 1                          | Yes             |
| <b>PRIMARY PICTURE (FORMAT)</b>                | One (1) alphanumeric character.   |                            |                 |
| <b>DEFINITION</b>                              | Code identifying the edition number of the Physician's Current Procedure Terminology used in determining the procedure codes on the pricing file. |                            |                 |
| <b>CODE/VALUE SPECIFICATIONS</b>               | 4   | CPT-4, TMA assigned codes. |                 |
|  | 8   | ADA Codes                  |                 |
| <b>ALGORITHM</b>                               | N/A   |                            |                 |
| <b>SUBORDINATE AND/OR GROUP ELEMENTS</b>       |   |                            |                 |
| <b>SUBORDINATE</b>                             |   |                            | <b>GROUP</b>    |
| N/A  |   |                            | N/A             |
| <b>NOTES AND SPECIAL INSTRUCTIONS:</b>         |   |                            |                 |
| N/A  |   |                            |                 |

## DATA ELEMENT DEFINITION

| ELEMENT NAME: RECORD TYPE         |                                      |             |          |
|-----------------------------------|--------------------------------------|-------------|----------|
| RECORDS/LOCATOR NUMBERS           |                                      |             |          |
| RECORD NAME                       | LOCATOR#                             | OCCURRENCES | REQUIRED |
| Pricing                           | 4-001                                | 1           | Yes      |
| PRIMARY PICTURE (FORMAT)          | One (1) alphanumeric character.      |             |          |
| DEFINITION                        | Code to indicate the type of record. |             |          |
| CODE/VALUE SPECIFICATIONS         | 4                                    | Pricing     |          |
| ALGORITHM                         | N/A                                  |             |          |
| SUBORDINATE AND/OR GROUP ELEMENTS |                                      |             |          |
| SUBORDINATE                       |                                      | GROUP       |          |
| N/A                               |                                      | N/A         |          |
| NOTES AND SPECIAL INSTRUCTIONS:   |                                      |             |          |
| N/A                               |                                      |             |          |

DATA ELEMENT DEFINITION

| ELEMENT NAME: RELATIVE VALUE UNIT   |  |             |                  |
|---|--|-------------|------------------|
| RECORDS/LOCATOR NUMBERS   |  |             |                  |
| RECORD NAME   | LOCATOR#   | OCCURRENCES | REQUIRED         |
| Pricing   | 4-040  | 1           | Yes <sup>1</sup> |
| PRIMARY PICTURE (FORMAT)  | Five (5) numeric digits, including two decimal places.   |             |                  |
| DEFINITION  | Unit that reflects the value of the service, the time spent, materials used and the economics of the provider's practice for medical services. (These would be similar to the California Relative Value Studies (CRVS) from the California Medical Association, but updated to reflect current medical practices.) |             |                  |
| CODE/VALUE SPECIFICATIONS   | If Category of Care for Conversion Factor = Anesthesia (A), report base unit value in this field.  |             |                  |
| ALGORITHM   | N/A  |             |                  |
| SUBORDINATE AND/OR GROUP ELEMENTS   |  |             |                  |
| SUBORDINATE   |  |             | GROUP            |
| N/A   |  |             | N/A              |
| NOTES AND SPECIAL INSTRUCTIONS:   |  |             |                  |
| <sup>1</sup> This field is required when pricing is based on conversion factor methodology. Must be zeros on all area prevailing and 'by report' pricing records. |  |             |                  |

## DATA ELEMENT DEFINITION

ELEMENT NAME: TRANSACTION CODE

| RECORDS/LOCATOR NUMBERS           |   |                     |                  |
|-----------------------------------|---|---------------------|------------------|
| RECORD NAME                       | LOCATOR#  | OCCURRENCES         | REQUIRED         |
| Pricing                           | 4-060   | 1                   | Yes <sup>1</sup> |
| PRIMARY PICTURE (FORMAT)          | One (1) alphanumeric character.   |                     |                  |
| DEFINITION                        | Code used to identify the type of processing to be done on the records. |                     |                  |
| CODE/VALUE SPECIFICATIONS         | A   | Add a record        |                  |
|                                   | M   | Modify a record     |                  |
|                                   | I   | Inactivate a record |                  |
| ALGORITHM                         | N/A   |                     |                  |
| SUBORDINATE AND/OR GROUP ELEMENTS |   |                     |                  |
| SUBORDINATE                       |   | GROUP               |                  |
| N/A                               |   | N/A                 |                  |

## NOTES AND SPECIAL INSTRUCTIONS:

<sup>1</sup> Refer to [Chapter 1, Section 5, paragraph 3.0.](#), for instructions.

DATA ELEMENT DEFINITION

| ELEMENT NAME: TYPE OF PRICING SERVICE |  |                                     |          |
|---------------------------------------|--|-------------------------------------|----------|
| RECORDS/LOCATOR NUMBERS               |  |                                     |          |
| RECORD NAME                           | LOCATOR#   | OCCURRENCES                         | REQUIRED |
| Pricing                               | 4-025  | 1                                   | Yes      |
| PRIMARY PICTURE (FORMAT)              | Two (2) alphanumeric characters.   |                                     |          |
| DEFINITION                            | Code to indicate type of service to which pricing data applies.  |                                     |          |
| CODE/VALUE SPECIFICATIONS             | 01   | Professional Component              |          |
|                                       | 02   | Technical Component                 |          |
|                                       | 03   | Medical                             |          |
|                                       | 04   | Surgery                             |          |
|                                       | 05   | Professional and Technical Combined |          |
|                                       | 07 <sup>1</sup>  | Psychotherapy                       |          |
|                                       | 08 <sup>1</sup>  | Psychotherapy (inpatient only)      |          |
|                                       | 09   | Anesthesia                          |          |
|                                       | <sup>1</sup> Codes 07 and 08 are not valid for psychiatric code conversion pricing records; use code 03 (Medical). |                                     |          |
| ALGORITHM                             | N/A  |                                     |          |
| SUBORDINATE AND/OR GROUP ELEMENTS     |  |                                     |          |
| SUBORDINATE                           |  |                                     | GROUP    |
| N/A                                   |  |                                     | N/A      |
| NOTES AND SPECIAL INSTRUCTIONS:       |  |                                     |          |
| N/A                                   |  |                                     |          |